MAY 0 6 2004 B Form 10/SB/21

United States Patent and Trademark Office

DEWIPAT File No. 30.037.10.US

General Transmittal Form

Application No.				Attorney Docket	ARC3	3244R1	
Filing Date 10/31/2003			Customer No.	2777	7		
Applicant Liang C. Dong			Confirmation No.	2950			
Examiner			Art Unit	1615			
Title	Fo	ormulation a	nd Dosage Form Providir	ng In	creased Bioavail	ability of	Hydrophobic Drugs
			ENCLOSURES (chec	k al	that apply)		
☐ Fee Transmitta	l Form				CD, Number of	CD(s)	
☐ Fee Attach	ed				After Allowance	Commu	nication to Group
☐ Amendment/R	eply				Appeal Commu	nication	to Board of Appeals and
☐ After Final					Interferences		
☐ Affidavits/	declarati	on(s)			Appeal Commu	nication 1	to Group (<i>Appeal Notice,</i>
☐ Preliminar	/				Brief, Reply Brie		
☐ Extension of Ti	me Requ	Jest			Proprietary Info	•	
☐ Express Aband	onment	Request			Status Letter		
☑ Information Dia	sclosure	Statement		_	Acknowledgeme	ent Posto	ard
☐ Certified Copy	of Priori	v Document	·(s)		ricknowicagerik	ine i osec	aru
☐ Response to M.			·				
			er 37 CFR 1.52/1.53		Other Enclosure	(s) (plea	se identify below):
☐ Drawing(s)		g raits and	. 57 CIR 1.52/1.55				
☐ Licensing-relate	ed Paner	~					
☐ Petition to Revi	•		cation				
Petition to Con	vert to a	Provisional	Application	Por	narks:		•
Petition				ICI	natks.		
☐ Power of Attorn	iey						
☐ Associate			`				
Revocation	_		,				
		ondence Add	ress				
☐ Terminal Discla	imer						
Request for Ref	und						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm/Individual	Adenik	e A. Adewuy	a, Reg. 42,254			***	
Signature	Ade	ka A-da.s					
Date	Date 5/4/2004						
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States							
Postal Service with	Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Typed or printed na	ime	Adenike A.	Adewuya		*		
Signature		Aclinh	Ademye			Date	51412004



United States Patent and Trademark Office

DEWIPAT File No. 30.037.10.US

Information Disclosure Statement

Application No	. 10/698,894	Attorney Docket	New Docket No. ARC3244R1			
Filing Date	10/31/2003	Customer No.	27777			
Applicant	Liang C. Dong	Confirmation No.	2950			
Examiner		Art Unit	1615			
Title						
Applicant subm	nits¹:					
☑ References	listed on the attached form PTO 1449*.					
	opies of references are enclosed (U.S. patents/appl	cations omitted if fil	ing data is often large 20, 2000)			
_	copy of a communication from a foreign patent offi		ing date is after June 30, 2003).			
☐ Under 35 II	☐ English language version of foreign search re	eport indicating degr	ee of relevance is enclosed.			
The fo	.S.C §120, this application relies on the earlier filin	g date of application	serial number, filed on			
not provided in	ollowing references were submitted to and/or cited	by the Office in the	prior application and, therefore, are			
not provided in	this application.					
· · · · · · · · · · · · · · · · · · ·						
This statement	is being filed:					
\square with the ap	olication.					
☐ within three	months of the filing date of the application.					
	eceipt of a first Office Action on the merits.					
	Office Action on the merits, but before receipt of a	final Office Action of	r a Notice of Allowance			
	the undersigned, hereby certify that each item of in					
cor	nmunication from a foreign patent office in a count	ernart foreign anglis	otion, the communication but			
dat	ed, which is not more than three months p	erpart foreign applic	ation, the communication being			
	Office Action on the merits, but before receipt of a					
□ unto: U inst	e submission fee the ments, but before receipt of a	illial Office Action of	a Notice of Allowance.			
	Late submission fee \$ under 37 CFR 1.17(p) is enclosed.					
cortify that	after a final Office Action or a Notice of Allowance, but before payment of the issue fee. I, the undersigned, hereby					
certify that t	each item of information in this statement was cited	in a communication	n from a foreign patent office in a			
counterpart	foreign application, the communication being dated	, which is no	ot more than three months prior to			
	atement. Applicant hereby petitions under CFR 1.9		ent to be considered.			
	omission fee \$ under 37 CFR 1.17(p) is enc					
☑ Please apply	any additional charges or credits to Deposit Accou	nt No. <u>10-0750</u> .	i			
	SIGNATURE OF Applicant, A	torney, or Agent				
Name Ad	enike A. Adewuya	Registration No.	42,254			
Signature A	lerike Adeunza					
	14 2004	Telephone	281-477-3450			
	orm PTO-1449 are submitted.					
<u>_</u> _						

¹ This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

MAY 0 6 2004 Form POAUL United States Patent and Trademark Office DEWIPAT File No. 30.037.10.US **Information Disclosure Citation** (Modified) Sheet 1 of 2 Application No. 10/698,894 Attorney Docket ARC3244R1 Filing Date 10/31/2003 Customer No. 27777 Applicant Liang C. Dong Confirmation No. 2950 Examiner Art Unit 1615 Formulation and Dosage Form Providing Increased Bioavailability of Hydrophobic Drugs Title

			U.S. PATENT DOCUM	MENTS
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date mm-dd-yyyy	Applicant/Patentee
	A1	US-2002119198-A1	08-29-2002	GAO, Ping
	A2	US-2003133974-A1	07-17-2003	CURATOLO, William
	А3	US-2003232078-A1	12-18-2003	DONG, Liang
	A4	US-3845770	05-11-1974	THEEUWES, Felix
	A5	US-3916899	04-11-1975	THEEUWES, Felix
	A6	US-4077407	03-07-1978	THEEUWES, Felix
	A7	US-4200098	04-29-1980	AYER, Atul D.
	A8	US-4627850	12-09-1986	DETERS, Joseph C.
	A9	US-4627851	12-09-1986	WONG, Patrick S.L.
	A10	US-4915949	04-10-1990	WONG, Patrick S.L
	A11	US-4931285	06-05-1990	EDGREN, David E.
	A12	US-5006346	04-09-1991	EDGREN, David E.
-	A13	US-5024842	06-18-1991	EDGREN, David E.
	A14	US-5126142	06-30-1992	AYER, Atul D.
	A15	US-5160743	11-03-1992	EDGREN, David E.
	A16	US-5190765	03-02-1993	JAO, Frank
	A17	US-5252338	10-12-1993	JAO, Frank
	A18	US-5324280	06-28-1994	WONG, Patrick S.L.
	A19	US-5413572	05-09-1995	WONG, Patrick S.L.
	A20	US-5578642	11-26-1996	SHALKA, Barry A.
	A21	US-5614578	03-25-1997	DONG, Liang
	A22	US-5620705	04-15-1997	DONG, Liang
	A23	US-5633011	05-27-1997	DONG, Liang
	A24	US-5660861	08-26-1997	JAO, Frank
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	A26	US-6057289	05-02-2000	MULYE, Nirmal
	A27	US-6174547	01-16-2001	DONG, Liang
	A28	US-6183466	02-06-2001	WONG, Patrick S.L.
	A29	US-6221391	04-24-2001	ROUFFER, Mark T.
	A30	US-6245357	06-12-2001	EDGREN, David E.
	A31	US-6284268	09-04-2001	MISHRA, Awadhesh

EXAMINER:	DATE CONSIDERED:
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	United States Pater	DEWIPAT	DEWIPAT File No. 30.037.10.US	
Form PTO-1449 (Modified)	Information Disclosure Citation Sheet			
Application No.	10/698,894	Attorney Docket	ARC3244R1	
Filing Date	10/31/2003	Customer No.	27777	
Applicant	Liang C. Dong	Confirmation No.	2950	
Examiner		Art Unit	1615	· · · · · · · · · · · · · · · · · · ·
Title	Formulation and Dosage	Form Providing Increased Bio	pavailability of Hydron	phobic Drugs

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date mm-dd-yyyy	Applicant/Patentee	
	A32	US-6342249	01-29-2002	WONG, Patrick S.L.	
	A33	US-6419952	07-16-2002	WONG, Patrick S.L.	
 -	A34	US-6436430	08-20-2002	MULYE, Nirmal	
	A35	US-6551613-B1	04-22-2003	DONG, Liang	

	FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date mm-dd-yyyy	Applicant/Patentee	Т
	A36	WO-02/22158-A1	03-21-2002	RPG Life Sciences Limited	
		1			

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	A37	PCT International Search Report Dated 04/06/2004	
			· ·

KAMINER:	DATE CONSIDERED:
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.